



RE: USA National Phase Filing of PCT/JP00/05972

09/830361  
JC08 Rec'd PCT/PTO  
25 APR 2001

11.  Please see the attached Preliminary Amendment

12.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)), i.e., before 18th month from first priority date above in item 3, are transmitted herewith (file only if in English) including:

13.  PCT Article 19 claim amendments (if any) have been transmitted by the International Bureau

14.  Translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)), i.e., of claim amendments made before 18th month, is attached (required by 20th month from the date in item 3 if box 4(a) above is X'd, or 30th month if box 4(b) is X'd, or else amendments will be considered canceled).

15. **A declaration of the inventor (35 U.S.C. 371(c)(4))**

- a.  is submitted herewith  Original  Facsimile/Copy
- b.  is not herewith, but will be filed when required by the forthcoming PTO Missing Requirements Notice per Rule 494(c) if box 4(a) is X'd or Rule 495(c) if box 4(b) is X'd.

16. **An International Search Report (ISR):**

- a. Was prepared by  European Patent Office  Japanese Patent Office  Other
- b.  has been transmitted by the international Bureau to PTO.
- c.  copy herewith (2 pg(s).)  plus Annex of family members (1 pg(s).).

17. **International Preliminary Examination Report (IPER):**

- a.  has been transmitted (if this letter is filed after 28 months from date in item 3) in English by the International Bureau with Annexes (if any) in original language.
- b.  copy herewith in English.
- c. 1  IPER Annex(es) in original language ("Annexes" are amendments made to claims/spec/drawings during Examination) including attached amended:
- c. 2  Specification/claim pages # \_\_\_\_\_ claims # \_\_\_\_\_  
Dwg Sheets # \_\_\_\_\_
- d.  Translation of Annex(es) to IPER (required by 30<sup>th</sup> month due date, or else annexed amendments will be considered canceled).

18. **Information Disclosure Statement** including:

- a.  Attached Form PTO-1449 listing documents
- b.  Attached copies of documents listed on Form PTO-1449
- c.  A concise explanation of relevance of ISR references is given in the ISR.

19.  **Assignment** document and Cover Sheet for recording are attached. Please mail the recorded assignment document back to the person whose signature, name and address appear at the end of this letter.

20.  Copy of Power to IA agent.

21.  **Drawings** (complete only if 8d or 10a(4) not completed): \_\_\_\_\_ sheet(s) per set:  1 set informal;  Formal of size  A4  11"

22. Small Entity Status  is Not claimed  is claimed (pre-filing confirmation required)

22(a) \_\_\_\_\_ (No.) Small Entity Statement(s) enclosed (since 9/8/00 Small Entity Statements(s) not essential to make claim)

23. **Priority** is hereby claimed under 35 U.S.C. 119/365 based on the priority claim and the certified copy, both filed in the International Application during the international stage based on the filing in (country) JAPAN of:

	Application No.	Filing Date	Application No.	Filing Date
(1)	11-248311	Sept. 2, 1999	(2)	11-360306
(3)	2000-103730	April 5, 2000	(4)	2000-103731
(5)	2000-103732	April 5, 2000	(6)	2000-103733

- a.  See Form PCT/IB/304 sent to US/DO with copy of priority documents. If copy has not been received, please proceed promptly to obtain same from the IB.
- b.  Copy of Form PCT/IB/304 attached.

24. Attached:

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25 Per Item 17.c2, cancel original pages #\_\_\_\_\_, claims #\_\_\_\_\_, Drawing Sheets #26. **Calculation of the U.S. National Fee (35 U.S.C. 371 (c)(1)) and other fees is as follows:**Based on amended claim(s) per above item(s)  12,  14,  17,  25 (hilite)

Total Effective Claims	97	minus 20 =	77	x \$18/\$9 =	\$1386	966/967
Independent Claims	17	minus 3 =	14	x \$80/\$40 =	\$1120	964/965
If any proper (ignore improper) Multiple Dependent claim is present,				add\$270/\$135	+270	968/969

BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(4)): →→ BASIC FEE REQUIRED, NOW →→→A. If country code letters in item 1 are not "US", "BR", "BB", "TT", "MX", "IL", "NZ", "IN" or "ZA"

See item 16 re:

1. Search Report was <u>not</u> prepared by EPO or JPO -----	add\$1000/\$500	960/961
2. Search Report was prepared by EPO or JPO -----	add\$860/\$430	+860 970/971

**SKIP B, C, D AND E UNLESS country code letters in item 1 are "US", "BR", "BB", "TT", "MX", "IL", "NZ", "IN" or "ZA"**

(X) →	<input type="checkbox"/> B. If <u>USPTO</u> did not issue <u>both</u> International Search Report (ISR) <u>and</u> (if box 4(b) above is X'd) the International Examination Report (IPER), -----	add\$1000/\$500	+0	960/961
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(one) →	<input type="checkbox"/> C. If <u>USPTO</u> issued ISR but not IPER (or box 4(a) above is X'd), -----	add\$710/\$355	+0	958/959
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(these) →	<input type="checkbox"/> D. If <u>USPTO</u> issued IPER but IPER Sec. V boxes <u>not all</u> 3 YES, -----	add\$690/\$345	+0	956/957
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(boxes) →	<input type="checkbox"/> E. If international preliminary examination fee was paid to <u>USPTO and</u> Rules 492(a)(4) and 496(b) <u>satisfied</u> (IPER Sec. V <u>all</u> 3 boxes YES for <u>all</u> claims), -----	add \$100/\$50	+0	962/963
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27. →	<input type="checkbox"/> SUBTOTAL =	<u>\$3636</u>
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28. If Assignment box 19 above is X'd, add Assignment Recording fee of ----\$40	+40	(581)
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29. Attached is a check to cover the -----	<b>TOTAL FEES</b>	<u>\$3676</u>
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Our Deposit Account No. 03-3975

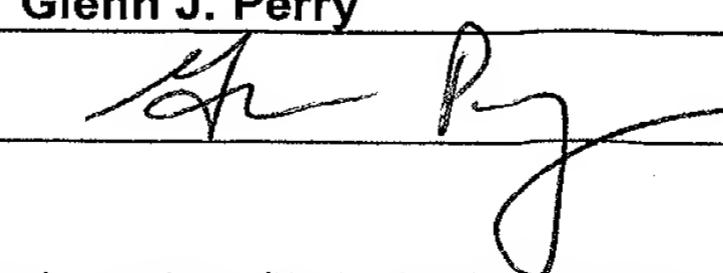
Our Order No. 41226 | 279059  
C# | M#

00909

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 and 492 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed

**Pillsbury Winthrop LLP**  
**Intellectual Property Group**

By Atty: Glenn J. PerryReg. No. 28458Sig: Fax: (202) 822-0944  
Tel: (202) 861-3070

Atty/Sec: gjp/mhn

NOTE: File in duplicate with 2 postcard receipts (PAT-103) & attachments.

ADDITIONAL FOREIGN PRIORITIES

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Application No.	Filing Date	Application No.	Filing Date
(7) 2000-221354	July 12, 2000	(8) 2000-221349	July 21, 2000
(9) 2000-221351	July 21, 2000	(10) 2000-221352	July 21, 2000
(11) 2000-221353	July 21, 2000	(12)	
(13)		(14)	
(15)		(16)	

*patent application serial no.*

*Department of Commerce  
Patent and Trademark Office  
fee record*

04/27/2001 MNNGUYEN 00000075 09830361

01 FC:970	860.00	OP
02 FC:966	1386.00	OP
03 FC:964	1120.00	OP
04 FC:968	270.00	OP